## Retention Schedule - Governance - Regulatory Services May 2018

Note: This Retention Schedule applies to all data held in any format (i.e. paper, electronic, microfiche etc)

| Service    | Function            | <b>Document Description</b>                        | Retention Period   |
|------------|---------------------|--|--|
| Governance | Regulatory Services | PACE notebooks                                     | CY+ 6 years  |
| Governance | Regulatory Services | Staff files  | Employment + 6 years   |
|            |                     | Application forms                                  | Except superannuation information  |
|            |                     | Contracts  |  |
|            |                     | Meeting minutes                                    |  |
|            |                     | Correspondence                                     |  |
|            |                     | Disciplinary procedures                            |  |
| Governance | Regulatory Services | Ombudsman  | 6 years after case closes.   |
| Governance | Regulatory Services | FOI Correspondence                                 | Current year   |
| Governance | Regulatory Services | Contracts  | Contracts under Seal 12 years from contract completion date Contracts not under seal 6 years from contract completion date |
| Governance | Regulatory Services | Unsuccessful tenders and quotations                | 4 years from the award of the contract   |
| Governance | Regulatory Services | Fees and charges: Charges for service              | Until superseded + 1 year  |
| Governance | Regulatory Services | Recruitment applications (unsuccessful candidates) | 6 months   |
| Governance | Regulatory Services | Attendance at training                             | CY + 1 year  |
|            |                     | Continuous Professional Development [CPD]          | Add to personnel file and destroy 6 years after employment ends  |
|            |                     | Training records                                   | 6 years after employment ceases  |
|            |                     | Post entry training                                | 2 years after completion of qualification/course   |